

AGING STRATEGIC ALIGNMENT PROJECT

State Profile for

INDIANA

Home- and Community-based Services for Older Adults and Adults with Physical Disabilities

Background

- Indiana's 60+ population was 1,128,187 in 2008 (17.7% of the state's total population), with 7.5% below the federal poverty level.¹
- The 60+ population is projected to be 1,524,232 in 2025 (22.7% of the state's total population).²
- About 22.1% of all households in 2007 had one or more persons age 65 years or older, and 40.4% of persons age 65 years and older had a disability.³
- Of the 895,973 Medicaid beneficiaries in the state in 2003, 7.4% were aged, 12.7% were blind and disabled, 15.1% were Dual Eligible, and 1.5% were HCBS waiver beneficiaries.⁴
- Medicaid expenditures for institutional long-term care were 67.7% of total long-term-care expenditures, compared to 33.3% for HCBS in fiscal year 2007.⁵

Abbreviations

AAA	Area Agency on Aging
ADL	Activities of Daily Living
AoA	Administration on Aging
CMS	Centers for Medicare and Medicaid Services
HCBS	Home- and Community-based Services
IADL	Instrumental Activities of Daily Living
MRDD	Mental Retardation and Developmental Disabilities
OAA	Older Americans Act
PACE	Program of All-inclusive Care for the Elderly
SUA	State Unit on Aging

¹ Department of Health and Human Services, Administration on Aging. (2009). *AGing Integrated Database (AGID): American Community Survey (ACS) demographic data 2004-2007 and state-level population estimates data 2000-2008* [Data files]. Available from <http://198.136.163.234/default.asp>

² Department of Health and Human Services, Administration on Aging. (2009). *Aging statistics: Projected future growth of the Older population; By state: 2005-2030; Aged 60 and above* [Data files]. Available from http://www.aoa.gov/AoARoot/Aging_Statistics/future_growth/future_growth.aspx.

³ Reimbursement and Research Department, American Health Care Association. (2006, August 29). *The state long-term health care sector 2005: Characteristics, utilization, and government funding*. Washington, DC: Author.

⁴ U.S. Census Bureau, 2007 American Community Survey, United States and States. *Percent of households with one or more people 65 years and over (R1104) and Percent of people 65 years and over with a disability (R1803)*.

⁵ Burwell, B., Sredl, K., & Eiken. S. (2008, September 26). *Medicaid long term care expenditures FY 2007*. Eagan, MN: Thomson Reuters.

Government Structure to Manage HCBS

- **SUA:** Indiana Division of Aging.
www.in.gov/fssa
- State Medicaid Agency: Office of Medicaid Policy and Planning.
www.in.gov/fssa
- Indiana does not have a consolidated agency for long-term care programs, although the Division of Aging handles all long-term care for older adults and adults with disabilities. The SUA and the Medicaid agency are under the Family and Social Services Administration, an umbrella social services agency.
- The director of Indiana's SUA reports to the Secretary of the Family and Social Service Administration, who reports to the Governor.
- Indiana has 16 Area Agencies on Aging.

Single Point of Entry (SPE)/Aging and Disability Resource Center (ADRC)

- Indiana has a statewide, single point of entry.
- All sites have a physical (brick and mortar) structure.
- In addition to serving older adults, Indiana's sites serve individuals with physical disabilities, and those with Alzheimer's disease and related disorders.
- All 16 AAAs comprise the ADRC and follow the Person Centered Planning Model.

Assessment Process

Medicaid

- For Medicaid applicants, in determining functional eligibility for nursing home pre-admission, Indiana requires an in-person assessment by someone other than nursing home staff. The PAS/PASRR (Pre-Admission Screening and Resident Review) assessment is conducted by the AAA. For out-of-state clients, it is a paper review.

- The PAS assessment is performed regardless of payer source. Each client has the option to agree or refuse to participate in the assessment, however. If the client does not agree to participate in the PAS assessment, he or she is not eligible for Medicaid reimbursement at the nursing facility for one year. An individual entering a Medicaid certified-nursing facility will also have the PASRR assessment done by the AAA and the Community Mental Health Center (if the client is mentally ill) or hospital social worker and psychiatrist.

Non-Medicaid

- For non-Medicaid applicants in Indiana, nursing home pre-admission assessment is performed by someone other than nursing home staff, usually in person but occasionally with a paper review for out-of-state clients. The PAS/PASRR assessment is conducted by the AAA.
- The PAS assessment is performed regardless of payer source. Each client has the option to agree or refuse to participate in the assessment, however. An individual entering a Medicaid-certified nursing facility will also have the PASRR assessment done by the AAA and the Community Mental Health Center (if the client is mentally ill) or hospital social worker and psychiatrist.

State Medicaid Plan

- Indiana provides HCBS through the state Medicaid plan.
- Refer to Table 1 for specific services provided.
- Indiana has no PACE sites.

Medicaid Waivers

- Indiana has one waiver program that provides HCBS to older adults and those with physical disabilities. The program is Aged and Disabled Waiver.
- **Aged and Disabled Waiver:** This program targets those who are aged or disabled who meet nursing facility level-of-care. Case management is conducted through the AAAs and independent case managers.

For an individual to qualify for this program, income cannot exceed \$2,022 and assets cannot exceed \$1,500. Additionally, the waiver has the Senate Bill 30 provision in which the parental income (for the client under the age of 18) is disregarded. For a couple, income cannot exceed \$3,033 and assets cannot exceed \$1,500. Spousal impoverishment rules may apply.

- Refer to Table 2 for an overview of selected HCBS programs. Table 1 shows the types of services offered under each program.

CMS-funded Programs and Grants

- Indiana has one CMS-funded Program and Grant that provides HCBS to older adults and/or adults with physical disabilities: Money Follows the Person Demonstration.
- **Money Follows the Person:** This program is in the implementation phase, with onsite team members in 6 regions, and is available statewide. The assessment and care planning are conducted by a transition nurse, transition specialist, the applicant, and those the applicant chooses to involve. The income and asset limits are the same as for the Aged and Disabled Waiver.
- Refer to Table 2 for an overview of selected HCBS programs. Table 1 shows the types of services offered.

State-funded HCBS Programs

- Indiana has one state-funded HCBS Program for older adults and/or those individuals with physical disabilities: CHOICE (Community and In-Home Options to Institutional Care for Elderly and Disabled).
- **CHOICE:** This program targets adults aged 60 and over or persons of any age with a physical disability who cannot perform two ADLs and are at risk of losing their independence. Eligible applicants are those who applied for Medicaid and were denied; there is a cost share for applicants above 151% of federal poverty level up to 355% of this level; the asset limit is \$500,000. This program offers consumer-directed care through the attendant care service.

- Refer to Table 2 for an overview of selected HCBS programs. Table 1 shows the types of services offered.

Locally Funded HCBS Programs

- Information not reported.

OAA-funded Programs and Grants

- Refer to Table 1 for services provided through Title III of the Older Americans Act.

Social Service Block Grants (SSBG) and Community Service Block Grants (CSBG) for HCBS

- Indiana uses SSBG to provide HCBS for older adults and/or adults with physical disabilities.
- Indiana does not use CSBG to provide HCBS for older adults and/or adults with physical disabilities.

Consumer Direction

- Indiana offers consumer-directed options. Consumer Directed Attendant Care is available at all AAAs. The HCBS programs in the state that offer consumer direction are the Aged and Disabled waiver and the CHOICE program.
- Those permitted to provide services under consumer direction in Indiana are: adult children living in a separate household without legal guardianship or power of attorney, and personal care attendants.
- Indiana uses a private fiscal intermediary service in connection with consumer direction in the Aged and Disabled Waiver but not in CHOICE, where the AAA is the Fiscal Intermediary.

Managed Care

- Indiana does not have HCBS programs run by managed care health plans.

Major Accomplishments and Initiatives

- Indiana is striving to reduce the waiting list for the state-funded CHOICE program by changing the operations and procedures regarding how persons are put on the waiting list, which has been successful.
- Economic times have affected all plans for HCBS programs and there has been a big push to streamline services and response times, which continues as a major focus.
- All AAAs provide DRI compliant meals.
- The Money Follows the Person Program is transitioning individuals out of Nursing Facilities and back into a community setting.

Table 1: Types of Home- and Community-based Services Provided Under Indiana's Programs for Older Adults and Adults with Physical Disabilities

Types of Services	Program Type / Funding Source				
	Medicaid Waivers	CMS-funded Programs and Grants	State-funded Programs	State Medicaid Plan	OAA Title III
	Aged and Disabled Waiver	Money Follows the Person Demonstration	CHOICE (Community and In-home Options to Institutional Care for the Elderly and Disabled)		
Adult Day Care / Adult Day Health	X		X	X	X
Assisted Living	X				
Caregiver Services / Respite / Education	X	X	X		X
Case Management / Care Coordination	X	X	X		X
Chore / Homemaking	X	X	X		X
Companion Services / Socialization Activities					
Employment					
Equipment / Supplies / Modifications / Assistive Technology	X	X	X	X	
Health Promotion Activities	X	X	X		
Home Health / Personal Care	X	X	X	X	X
Information / Assistance / Referral	X	X	X		X
Legal Assistance / Financial Advice					X
Medical / Dental / Medication Care					
Mental and Behavioral Health Services			X		
Nursing			X	X	
Nutrition / Meals	X	X	X		X
Personal Supports for Community Living / Transitioning	X	X	X		
Rehabilitation / Therapy			X	X	
Residential Services	X				
Transportation	X	X	X	X	X
Other/Unspecified HCBS					X

Table 2: Selected HCBS Programs for Older Adults and Adults with Physical Disabilities in Indiana

Program Type / Funding Source	Program Name	Ages Served	Nursing Home Level of Care Required	Entity Responsible for Functional Assessment	Entity Responsible for Financial Assessment	Entity Responsible for Case Management	Waiting List (Number)	Consumer Direction Option
Medicaid Waivers	Aged and Disabled Waiver	60+ and disabled persons of any age	Yes	Area Agency on Aging	State agency: Division of Family Resources; Area Agency on Aging	Area Agency on Aging; Independent case managers	No	Yes
CMS-funded Programs and Grants	Money Follows the Person Demonstration	18+	Yes	Transition nurse, transition specialist, the applicant, and those the applicant chooses to involve	State agency: Division of Family Resources; Area Agency on Aging	Area Agency on Aging	No	No
State-funded Programs	CHOICE (Community and In-home Options to Institutional Care for the Elderly and Disabled)	60+ and disabled persons of any age	No	Area Agency on Aging	Area Agency on Aging	Area Agency on Aging	Yes (3,500)	Yes