

# AGING STRATEGIC ALIGNMENT PROJECT

## State Profile for

# RHODE ISLAND

## Home- and Community-based Services for Older Adults and Adults with Physical Disabilities

### Background

- Rhode Island's 60+ population was 202,028 in 2008 (19.2% of the state's total population), with 8.5% below the federal poverty level.<sup>1</sup>
- The 60+ population is projected to be 297,478 in 2025 (25.7% of the state's total population).<sup>2</sup>
- About 24.9% of all households in 2007 had one or more persons age 65 years and older, and 38.1% of persons age 65 years and older had a disability.<sup>3</sup>
- Of the 201,875 Medicaid beneficiaries in the state in 2003, 8.1% were aged, 18.0% were blind and disabled, 18.9% were Dual Eligible, and 2.1% were HCBS waiver beneficiaries.<sup>4</sup>
- Medicaid expenditures for institutional long-term care were 54.4% of total long-term-care expenditures, compared to 45.6% for HCBS in fiscal year 2007.<sup>5</sup>

#### Abbreviations

AAA	Area Agency on Aging
ADL	Activities of Daily Living
AoA	Administration on Aging
CMS	Centers for Medicare and Medicaid Services
HCBS	Home- and Community-based Services
IADL	Instrumental Activities of Daily Living
MRDD	Mental Retardation and Developmental Disabilities
OAA	Older Americans Act
PACE	Program of All-inclusive Care for the Elderly
SUA	State Unit on Aging

<sup>1</sup> Department of Health and Human Services, Administration on Aging. (2009). *AGing Integrated Database (AGID): American Community Survey (ACS) demographic data 2004-2007 and state-level population estimates data 2000-2008* [Data files]. Available from <http://198.136.163.234/default.asp>

<sup>2</sup> Department of Health and Human Services, Administration on Aging. (2009). *AGing statistics: Projected future growth of the Older population; By state: 2005-2030; Aged 60 and above* [Data files]. Available from [http://www.aoa.gov/AoARoot/Aging\\_Statistics/future\\_growth/future\\_growth.aspx](http://www.aoa.gov/AoARoot/Aging_Statistics/future_growth/future_growth.aspx).

<sup>3</sup> Reimbursement and Research Department, American Health Care Association. (2006, August 29). *The state long-term health care sector 2005: Characteristics, utilization, and government funding*. Washington, DC: Author.

<sup>4</sup> U.S. Census Bureau, 2007 American Community Survey, United States and States. *Percent of households with one or more people 65 years and over (R1104) and Percent of people 65 years and over with a disability (R1803)*.

<sup>5</sup> Burwell, B., Sredl, K., & Eiken. S. (2008, September 26). *Medicaid long term care expenditures FY 2007*. Eagan, MN: Thomson Reuters.

## Government Structure to Manage HCBS

- **SUA:** Rhode Island Department of Elderly Affairs. [www.dea.ri.gov/](http://www.dea.ri.gov/)
- **State Medicaid Agency:** Rhode Island Department of Human Services. [www.dhs.state.ri.us/dhs/dheacre.htm](http://www.dhs.state.ri.us/dhs/dheacre.htm)
- Rhode Island has a consolidated agency for long-term-care programs. The consolidated agency includes five departments within the Department of Health and Human Services.
- The director of Rhode Island's SUA has dual reporting responsibilities. The director reports to the Governor and to the Deputy Secretary of the Office of Health and Human Services, who also reports directly to the Governor.

## Single Point of Entry (SPE)/Aging and Disability Resource Center (ADRC)

- Rhode Island has an ADRC that is statewide.
- All ADRC sites have a physical (bricks and mortar) infrastructure; none of the ADRC sites operate under a virtual model.
- In addition to serving older adults, Rhode Island's ADRC serves those with physical disabilities, cognitive impairments, MRDD, Alzheimer's disease or a related condition, and veterans.

## Assessment Process

### Medicaid

- For Medicaid applicants, in determining functional eligibility for nursing home pre-admission, Rhode Island requires an in-person assessment by someone other than nursing home staff. The assessment is conducted by the Department of Human Services if the individual is coming from the community; if the individual is being discharged from the hospital, then the hospital discharge planners conduct the level of care assessment, which must be approved by the Office of Medical Review.

### Non-Medicaid

- For non-Medicaid applicants in Rhode Island, nursing home pre-admission assessment is not performed by someone other than nursing home staff.

### State Medicaid Plan

- Rhode Island provides HCBS through the state Medicaid plan.
- Refer to Table 1 for specific services provided.
- **PACE:** Rhode Island has one PACE site located in Providence.

### Medicaid Waivers\*

- Rhode Island has four waivers\* that provide HCBS to older adults and/or adults with physical disabilities. These are: Aging and Disabled Waiver, Home and Community Based Services for the Elderly Waiver, Personal Choices Waiver, and Rhode Island Assisted Living Waiver.
- **Aging and Disabled Waiver:** This program serves those age 18 and older including those with MRDD, physical disabilities, and Alzheimer's disease and related conditions. All applicants are assessed as individuals; the income limit is \$2,000 and the asset limit is \$1,500 plus \$15,000 of life insurance. Consumer-directed options are not available in this program.
- **Home and Community Based Services for the Elderly Waiver:** This program serves older adults age 65 and older. The income and asset limits for an individual to qualify for this program are \$1,911 and \$4,000, respectively, plus \$4,000 of life insurance.
- **Personal Choices Wavier:** This program is for those age 65 and older and adults who have a physical disability. This is a consumer-directed program in which participants use a fiscal intermediary to develop a budget and direct their own care.
- **Assisted Living Waiver:** This program serves those aged 18 and older. The income and asset limits for an

individual to qualify for this program are \$1,911 and \$4,000, respectively.

- Refer to Table 2 for an overview of selected HCBS programs. Table 1 shows the types of services offered under each program.

*\*Since reporting the information above, Rhode Island has obtained a Global 1115 Waiver that eliminates all the above-mentioned Medicaid waivers and combines them into one program.*

## CMS-funded Programs and Grants

- Rhode Island does not have CMS-funded programs and grants that provide HCBS to older adults and/or adults with physical disabilities

## State-funded HCBS Programs

- Rhode Island has one state-funded HCBS program that provides HCBS to older adults and/or adults with physical disabilities. This is the DEA Co-pay Program.
- **DEA Co-pay Program:** To qualify for this program an applicant must be homebound, age 65 or older and a resident of Rhode Island. Income for this program cannot exceed \$19,341 for an individual or \$24,179 for a couple. Participants in this program are expected to contribute to the cost of services; participants pay \$7.00-\$11.50 per day for day care services.
- Refer to Table 2 for an overview of selected HCBS programs. Table 1 shows the types of services offered.

## Locally Funded HCBS Programs

- *Information not reported.*

## OAA-funded Programs and Grants

- Refer to Table 1 for services provided through Title III of the OAA.
- Rhode Island uses cost-sharing mechanisms or sliding fee scales in the delivery of Title III services.
- Rhode Island has five other OAA-funded programs and grants that provide HCBS to older adults and/or adults with physical disabilities. These are: National

Family Caregiver Support Program; Alzheimer's Disease Demonstration Grant; Ombudsman; Nutrition; and Preventive Health.

## Social Service Block Grants (SSBG) and Community Service Block Grants (CSBG) for HCBS

- Rhode Island uses SSBG to provide HCBS for older adults and/or adults with physical disabilities.
- Rhode Island does not use CSBG to provide HCBS for older adults and/or adults with physical disabilities.

## Consumer Direction

- Rhode Island offers consumer-directed options. The HCBS programs in the state that offer consumer direction are the Personal Choices Waiver and the DEA Co-pay Program.
- Models of consumer direction available in the state include a Cash and Counseling grant.
- Those permitted to provide services under consumer direction in Rhode Island are: provider agencies and family members.
- Rhode Island uses fiscal intermediary services in connection with consumer direction; there are two in the state.

## Managed Care

- Rhode Island has HCBS programs run by managed care health plans.

## Major Accomplishments and Initiatives

- Rhode Island is planning to obtain a Global Waiver\*.

*\*Since reporting the information above, Rhode Island has obtained a Global 1115 Waiver that eliminates all the above-mentioned Medicaid waivers and combines them into one program.*

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**Table 1: Types of Home- and Community-based Services Provided Under Rhode Island’s Programs for Older Adults and Adults with Physical Disabilities**

Types of Services	Program Type / Funding Source						
	Medicaid Waivers				State-funded Programs	State Medicaid Plan	OAA Title III
	Aging and Disabled Waiver	Home and Community Based Services for the Elderly Waiver	Personal Choices	RI Assisted Living Waiver	DEA Co-pay Program		
Adult Day Care / Adult Day Health					X	X	
Assisted Living		X		X			
Caregiver Services / Respite / Education	X	X			X		
Case Management / Care Coordination	X	X		X	X		X
Chore / Homemaking	X	X			X		X
Companion Services / Socialization Activities		X					
Employment							
Equipment / Supplies / Modifications / Assistive Technology	X	X		X			
Health Promotion Activities							
Home Health / Personal Care	X	X			X	X	X
Information / Assistance / Referral	X	X					X
Legal Assistance / Financial Advice							X
Medical / Dental / Medication Care	X						
Mental and Behavioral Health Services							
Nursing	X						
Nutrition / Meals	X	X				X	X
Personal Supports for Community Living / Transitioning							
Rehabilitation / Therapy	X						
Residential Services							
Transportation	X	X		X		X	
Other/ Unspecified HCBS							

Table 2: Selected HCBS Programs for Older Adults and Adults with Physical Disabilities in Rhode Island

Program Type / Funding Source	Program Name	Ages Served	Nursing Home Level of Care Required	Entity Responsible for Functional Assessment	Entity Responsible for Financial Assessment	Entity Responsible for Case Management	Waiting List (Number)	Consumer Direction Option
Medicaid Waivers	Aging and Disabled Waiver	18+	Yes	State agency: Office of Medical Review and the Long-Term Care Field Offices of the Department of Human Services	State agency: Long-Term Care Field Offices of the Department of Human Services	State agency: Long-Term Care Office of the Department of Human Services	No	No
	Home and Community Based Services for the Elderly Waiver	65+	Yes	State agency: Department of Human Services Office of Medical Review; Regional Case Management Network	State agency: Department of Human Services; Non-profit (not AAA or CIL)	Regional Case Management Network	No	No
	Personal Choices Waiver	18+ for disabled, 65+ for aged	Yes	Non-profit (other than AAA or CIL)	State agency: Long-Term Care Field Offices of Department of Human Services	Non-profit (other than AAA or CIL)	Yes	Yes
	RI Assisted Living Waiver	18+	Yes	State agency: Department of Elderly Affairs	State agency: Department of Human Services; Non-profit (not AAA or CIL)	State agency: DHS; Non-profit (other than AAA or CIL)	No	No
State-funded Programs	DEA Co-pay Program	65+	No	Non-profit (other than AAA or CIL)	Non-profit (other than AAA or CIL); Regional Case management network	Non-profit (other than AAA or CIL)	No	Yes

